

# CMMs (AR Part 1 Addendum)

& the Compliance Monitoring Scheme (CMS)

Tuna Data Workshop (TDW) 2024 8 - 12 Apirl 2024 SPC, Noumea





- Compliance Monitoring Scheme (CMS)
- AR Part 1 Addendum
  - Who, What, Where, How + Audit Points
- Useful resources/links relevant to AR Pt1 and CMS



# **Purpose:**

 ... to ensure that CCMs implement and comply with obligations arising under the Convention and conservation and management measures (CMMs) adopted by the Commission.....

# Principles:

3. Effectiveness, Efficiency, Fairness, Cooperation towards Compliance



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8. The Commission shall undertake an annual assessment of compliance by CCMs during the previous calendar year with the priority obligations identified under paragraph 6. Such assessment shall be determined based on the following criteria:

- (i) For a CCM-level quantitative limit or collective CCM quantitative limit, such as a limit on fishing capacity, fishing effort, or catch, verifiable data indicating that the limit has not been exceeded.
- (ii) For other obligations:
  - a. Implementation where an obligation applies, the CCM is required to provide information showing that it has adopted, in accordance with its own national policies and procedures, binding measures that implement that obligation; and
  - b. Monitor and ensure compliance the CCM is required to provide information showing that it has a system or procedures to monitor compliance of vessels and persons with these binding measures, a system or procedures to respond to instances of non-compliance and has taken action in relation to potential infringements.



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### CMM 2023-04 on CMS



- Quantitative Limit (QL)
- Implementation (IM)
- Report (RP)
- Deadline (DL)

### **Key Dates**



|         | Annual Report Part 2   | 0   | Fleet Fished Status  |             |
|---------|--|-----|--|-------------|
|         | Deadline: 15 Jun 2024<br>M&E Editors can submit here<br>Open ARP2 Response List  |     | Deadline: 01 Jul 2024<br>RFV Editors can submit via the Record of Fishing Vessels (RFV)<br>Open RFV  | → 1 July    |
|         | ARP2: MTU Audits   | 0   | Annual Report Part 1   | → 15 July   |
|         | Deadline: 15 Jun 2024  |     | Deadline: 13 Jul 2024  | rooury      |
|         | VMS Editors can submit via the Record of Fishing Vessels (R<br>Open RFV  | FV) | Can be submitted by email to wcpfc@wcpfc.int<br>Open Reporting Template  |             |
| 15 June | CMR: Previous Year issues  | 0   | Scientific Data to be Provided   |             |
|         | Deadline: 15 Jun 2024  |     | Deadline: 30 Apr 2024  | <pre></pre> |
|         | CCMs can submit via WCPFC Intranet using cmr.xx login<br>Open Addressing Previous CMR Issues List                          |     | Please provide these data in accordance with the latest version<br>of guidelines for Scientific Data to be Provided to the<br>Commission. The guidelines concern the provision of estimates<br>of annual catches, the number of vessels active, operational level<br>catch and effort data, catch and effort data aggregated by time<br>period and geographic area, and size composition data. CCMs<br>can submit via emait to WCPFC Scientific Data Manager:<br>Emmanuel Schneiter and Tiffany Vidal<br>Open Latest Version of Scientific Data to be Provided to the<br>Commission Decision | 30 April    |
|         | CMR: Progress on Capacity<br>Development Plans   | 0   |  |             |
|         | Deadline: 15 Jun 2024<br>CCMs can submit via WCPFC Intranet using cmr.xx login<br>Open Addressing Previous CMR Issues List |     |  |             |

#### Source: https://www.wcpfc.int/compliance-monitoring

## **Annual Report Part 1**



#### WCPFC website > Key Documents > Guidelines, Procedures and Regulations:

https://www.wcpfc.int/doc/sc-01/annual-report-commission-part-1-information-fisheries-research-and-statistics-revised

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The Commission for the Conservation and Management of Highly Migratory Fish Stocks in the Western and Central Pacific Ocean

> Scientific Committee Fourth Regular Session

Port Moresby, Papua New Guinea 11–22 August 2008

#### ANNUAL REPORT TO THE COMMISSION PART 1: INFORMATION ON FISHERIES, RESEARCH AND STATISTICS

**Purpose:** To provide relevant information to the Commission on fishing activities of CCMs and cooperating non-CCMs, including management and compliance issues. The report should include all fishing activities for highly migratory species being undertaken within the Convention Area as required by the Convention and decisions by the Commission.

PART 1. INFORMATION ON FISHERIES, RESEARCH AND STATISTICS

(Summary information for the <u>previous year</u>, complementary to data submitted<sup>1</sup>)

Part 1 of the Annual Report is due one-month prior to the annual regular session of the Scientific Committee.

#### Part 1 of the Annual Report shall include the following, completed box at the front of the report.

[answer YES or NO]

| Scientific data was provided to the Commission       |        |
|--|--------|
| in accordance with the decision relating to the      |        |
| provision of scientific data to the Commission by    |        |
| 30 April 2xxx  |        |
| If no, please indicate the reason(s) and intended ac | tions: |

#### ANNUAL FISHERIES INFORMATION

#### **Essential information**

|   | Annual catch and effort by primary | Previous calendar year (x-1) and previous 4 |
|---|------------------------------------|---|
| I | species and gear in the WCPEC      | vears $(x, 2 \text{ to } x, 5)$             |
|   |                                    |   |

|  | Western and<br>Central Pacific<br>Fisheries<br>Commission  |
|--|--|
|  | ADDENDUM TO ANNUAL REPORT PART 1   |
|  | 8 April 2024 <sup>1</sup>  |
| SECTION A: S                                 | PECIFIC INFORMATION TO BE PROVIDED IN ANNUAL REPORT PART 1 AS REQUIRED BY  |
| CMMS AND O                                   | DTHER DECISIONS OF THE COMMISSION.   |
| <u>CMM 2009-03</u><br>[Swordfish],<br>Para 8 | CCMs shall report to the Commission the total number of vessels that fished for swordfish<br>and the total catch of swordfish for the following:<br>a. vessels flying their flag anywhere in the Convention Area south of 20°S other than vessels<br>operating under charter, lease or other similar mechanism as part of the domestic fishery of<br>another CCM;<br>b. vessels operating under charter, lease or other similar mechanism as part of their domesti<br>fishery south of 20°S; and<br>c. any other vessels fishing within their waters south of 20°S.<br>This information shall be provided in Part 1of each CCM's annual report. Initially, this<br>information will be provided in the template provided at Annex 2 for the period 2000-2009<br>and then updated annually. |
|  | *Note: WCPFC11 confirmed a common understanding that "total catch" in this reporting<br>requirement refers to both targeted and bycatch catches of swordfish.<br>AUDIT POINT [RP] The Secretariat confirms that the CCM submitted the required<br>information contained in the tamplate in Appen 2 of CMM in its AP Pt 1   |

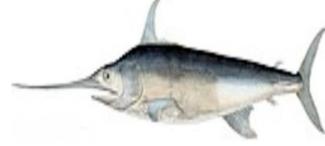


- Determine:
  - WHO does the requirement apply to? Flag State/coastal State/port State etc. Does it only apply to a specific fishery eg. PS/LL? (a good reference is your previous year's CMR)
  - ✓ WHERE scope/area of application eg. SWO+SPA = S20S, VMS = high seas;
  - ✓ WHAT is required to be reported? Note: make sure to report ALL the required information (refer to the language of the CMM para in the Addendum)
  - ✓ **HOW** is the report required ie. through a template? Use templates/guidelines
- Be mindful of the Audit points was used for the first time last year; will be use again this year

# CMM 2009-03 para 8: Swordfish

- Applicable to: flag/chartered CCMs who had vessel/s operating S 20 S & coastal State S 20 S
- Report the total number of vessels that fished for SWO & the total catch of SWO
  - "total catch" in this reporting requirement refers to both targeted and bycatch catches of swordfish.

|        |                        | Year | CCM-flag<br>vessels sou | ged <sup>#</sup><br>1th of 20S | Charteree         | d vessels <sup>*</sup> | Other vessels fishing<br>within the CCM's waters<br>south of 20S |                   |                   |  |  |
|--------|------------------------|------|-------------------------|--------------------------------|-------------------|------------------------|--|-------------------|-------------------|--|--|
|        |                        |      | Catch<br>(tonnes)       | Vessel<br>numbers              | Catch<br>(tonnes) | Vessel<br>numbers      | Flag   | Catch<br>(tonnes) | Vessel<br>numbers |  |  |
| $\sim$ |                        | 2000 |                         |                                |                   |                        |  |                   |                   |  |  |
|        | Reporting              | 2001 |                         |                                |                   |                        |  |                   |                   |  |  |
|        | Reporting<br>Template: | 2002 |                         |                                |                   |                        |  |                   |                   |  |  |
|        | remplate:              | 2003 |                         |                                |                   |                        |  |                   |                   |  |  |
|        | -                      | 2004 |                         |                                |                   |                        |  |                   |                   |  |  |
|        |                        | 2005 |                         |                                |                   |                        |  |                   |                   |  |  |
|        |                        | 2006 |                         |                                |                   |                        |  |                   |                   |  |  |
|        |                        | 2007 |                         |                                |                   |                        |  |                   |                   |  |  |
|        |                        | 2008 |                         |                                |                   |                        |  |                   |                   |  |  |
|        |                        | 2009 |                         |                                |                   |                        |  |                   |                   |  |  |



#### AUDIT POINT [RP] \*\*

The Secretariat confirms that the CCM submitted the required information contained <u>in the</u> <u>template in Annex 2</u> of CMM in its AR Pt 1.

# - In accordance with paragraphs 6 and 8 a, Flag CCMs are not to report against chartered vessels.

\* In accordance with paragraphs 6 and 8 b, chartering CCMs must report against chartered vessels.





[IM] \*\*

**Applicable to:** flag CCMs with flagged non-purse seine fishing vessels that "fished" in 2023, and within the scope of the Commission ROP

### Scope:

- $\circ~$  Vessels fishing exclusively on the high seas
- $\circ$  Vessels fishing in more than 1 EEZ

#### What to report:

|                     |      |               | No. of Hooks       |              |   | Days Fished        |              |   | Days at Sea        |              |          | No. of Trips       |              |   |              |
|---------------------|------|---------------|--------------------|--------------|---|--------------------|--------------|---|--------------------|--------------|----------|--------------------|--------------|---|--------------|
| CCM F               | leet | Fishery       | Total<br>estimated | Observe<br>r | % | Total<br>estimated | Observe<br>r | % | Total<br>estimated | Observe<br>r | %        | Total<br>estimated | Observe<br>r | % | See<br>NOTEs |
| REPUBLIC O<br>KOREA | F    | Distant-water |                    |              |   |                    |              |   | 23,632             | 1,575        | 6.6<br>% |                    |              |   |              |

## CMM 2009-06 para 11: Transhipment



**Applicable to:** flag CCMs who WCPFC received <u>high seas</u> transhipment authorisations in 2022 and those who authorised their vessels to tranship <u>in ports or EEZs</u>

## What to report:

(1) the **total quantities, by weight**, of highly migratory fish stocks covered by this measure that were <u>transhipped</u> by fishing vessels the CCM is responsible for reporting against, with those quantities broken down by:

(2) the **number of transhipments** involving highly migratory fish stocks covered by this measure by fishing vessels that is responsible for reporting against, broken down by:

| a) offloaded<br>and received; | b) transhipped in<br>port, transhipped at<br>sea in areas of<br>national jurisdiction,<br>and transhipped<br>beyond areas of<br>national jurisdiction | c) transhipped inside<br>the Convention Area<br>and transshipped<br>outside the<br>Convention Area; | d) caught inside<br>the Convention<br>Area and caught<br>outside the<br>Convention<br>Area; | e) Species | f) Product<br>Form | g) Fishing<br>gear | a) offloaded and<br>received | b) transhipped in port,<br>transhipped at sea in areas of<br>national jurisdiction, and<br>transhipped beyond areas of<br>national jurisdiction | c) transhipped inside the<br>Convention Area and<br>transhipped outside the<br>Convention Area | d) caught inside the<br>Convention Area and<br>caught outside the<br>Convention Area | e) fishing gear |  |
|-------------------------------|---|---|---|------------|--------------------|--------------------|------------------------------|---|--|--|-----------------|--|
| offloaded                     |   |   |   |            |                    |                    | offloaded                    |   |  |  |                 |  |
| <b>AUDIT</b>                  | AUDIT POINT [RP] The Secretariat confirms receipt in AR Pt 1 of the required information in the   |   |   |            |                    |                    |                              |   |  |  |                 |  |

AUDIT POINT [RP] The Secretariat confirms receipt in AR Pt 1 of the required information in the <u>prescribed format contained at Annex II</u> of CMM 2009-06, and confirms that the report includes the required information for all CCM transhipment events in the Convention Area of all HMFS covered by the Convention, as well as HMFS taken in the Convention Area and transhipped outside the Convention Area, in accordance with paras 10, 11, and 12 of CMM 2009-06.



## Applicable to: flag CCMs who have <u>purse seine</u> vessels in 2023

### What to report:

- instances in which cetaceans have been encircled by the purse seine nets of their flagged vessels, reported under paragraph 2(b).
- Information required by para 2(b):
  - o details of the species (if known)
  - o number of individuals
  - location and date of such encirclement
  - steps taken to ensure safe release, and
  - o an assessment of the life status of the animal on release

AUDIT POINT [RP]:

Secretariat confirms that CCM submitted a report on instances in which cetaceans have been encircled by the purse seine nets of flagged vessels and as reported in ARPt1 under para 2(b) of CMM



## What to report:

- all available information on interactions with seabirds reported or <u>collected by</u> <u>observers</u>
- Annex 2 tables x, y & z should be included in the AR Part 1 summarising the most recent five years

#### AUDIT POINT [RP]:

The Secretariat confirms that CCM submitted a report using the reporting template in Annex 2 of CMM 2018-03 on seabird interactions reported or collected by observers.



#### ADDITIONAL ANNUAL REPORTING REQUIREMENTS

#### THAT COULD BE INCLUDED IN ANNUAL REPORT PART 1,

# IF NOT OTHERWISE REPORTED ANNUALLY TO WCPFC



#### What to report:

 the <u>catch levels</u> of their fishing vessels that have taken striped marlin as a bycatch as well as the <u>number and catch levels of vessels fishing for</u> <u>striped</u> marlin in the Convention Area <u>south of 15°S</u>.

#### AUDIT POINT [RP]: (pending review of APs)

The Secretariat confirms that the CCM submitted in its AR Pt1:

- a. the number of its flagged vessels that fished for MLS south of 15S between 2001-2004 and has nominated the maximum number of its flagged vessels that are permitted to continue to fish for MLS south of 15S
- b. the catch levels of CCM flagged vessels that have taken MLS as a bycatch the number and catch levels of its vessels fishing for MLS south of 15S.



#### What to report:

<u>annual catch levels</u> taken by each of their fishing vessels that has taken P ALB, as well as the <u>number of vessels actively fishing for</u> SP ALB, in the CA S of 20°S. Catch by vessel shall be reported according to the following species groups: albacore tuna, bigeye tuna, yellowfin tuna, swordfish, other billfish, and sharks. Initially this information will be provided for the period 2006-2014 and then updated annually.

#### AUDIT POINT [RP]

The Secretariat confirms that the CCM submitted information on annual catch levels by its flagged vessels taking SP Albacore, as well as the number of CCM flagged vessels actively fishing for SP Albacore south of 20S, with catch levels reported by species groups.

#### What to report:

 <u>all catches</u> of ALB north of the equator and <u>all fishing effort</u> north of the equator in fisheries directed at albacore. The reports for both catch and fishing effort shall be made by gear type. Catches shall be reported in terms of weight. Fishing effort shall be reported in terms of the most relevant measures for a given gear type, including at a minimum for all gear types, the number of vessel-days fished using the template provided in Annex 1.

Annex I: Average annual fishing effort for 2002-2004 and annual fishing effort for subsequent years for fisheries directed at North Pacific albacore in the North Pacific Ocean

| ССМ | Area <sup>1</sup> | Fishery | 2002-04<br>Average |                | Year              |                |
|-----|-------------------|---------|--------------------|----------------|-------------------|----------------|-------------------|----------------|-------------------|----------------|-------------------|----------------|-------------------|----------------|-------------------|----------------|
|     |                   |         | No. of<br>vessels  | Vessel<br>days | No. of<br>vessels | Vessel<br>days | No. of<br>vessels | Vessel<br>days | No. of<br>vessels | Vessel<br>days | No. of<br>vessels | Vessel<br>days | No. of<br>vessels | Vessel<br>days | No. of<br>vessels | Vessel<br>days |
|     |                   |         |                    |                |                   |                |                   |                |                   |                |                   |                |                   |                |                   |                |
|     |                   |         |                    |                |                   |                |                   |                |                   |                |                   |                |                   |                |                   |                |
|     |                   |         |                    |                |                   |                |                   |                |                   |                |                   |                |                   |                |                   |                |

#### AUDIT POINT [RP]

The Secretariat confirms that CCM submitted a report of information on catch and effort by CCM flagged vessels engaged in directed fishing for NP albacore north of the equator, by gear type and days fished (effort) and by weight (catch), using the template at Annex 1 of CMM 2019-03.





#### Applicable to: All CCMs

#### What to report:

 All CCMs report annually all catches of NP SWO in the Area and all fishing effort in those fisheries subject to the measures in paragraph 2, by gear type <u>using the</u> <u>template provided in Annex 1</u>.

Annex 1. Average annual fishing effort for 2008-2010and annual fishing effort for subsequent years for fisheries taking North Pacific swordfish

| ССМ | A                 | Fishery<br>(gear<br>type) | 2008-2010<br>Average |                   |                              | Year         |                   |                 |              | Year              |                 | Year         |                   |                 |
|-----|-------------------|---------------------------|----------------------|-------------------|------------------------------|--------------|-------------------|-----------------|--------------|-------------------|-----------------|--------------|-------------------|-----------------|
|     | Area <sup>3</sup> |                           | Catch<br>(t)         | No. of<br>vessels | Fishing<br>days <sup>4</sup> | Catch<br>(t) | No. of<br>vessels | Fishing<br>days | Catch<br>(t) | No. of<br>vessels | Fishing<br>days | Catch<br>(t) | No. of<br>vessels | Fishing<br>days |
|     |                   |                           |                      |                   |                              |              |                   |                 |              |                   |                 |              |                   |                 |
|     |                   |                           |                      |                   |                              |              |                   |                 |              |                   |                 |              |                   |                 |
|     |                   |                           |                      |                   |                              |              |                   |                 |              |                   |                 |              |                   |                 |
|     |                   |                           |                      |                   |                              |              |                   |                 |              |                   |                 |              |                   |                 |
|     |                   |                           |                      |                   |                              |              |                   |                 |              |                   |                 |              |                   |                 |
|     |                   |                           |                      |                   |                              |              |                   |                 |              |                   |                 |              |                   |                 |



WCPFC Calendar: <u>https://www.wcpfc.int/calendar/upcoming</u>

CMS page: <a href="https://www.wcpfc.int/compliance-monitoring">https://www.wcpfc.int/compliance-monitoring</a>

CMMs: <u>http://www.wcpfc.int/conservation-and-management-measures</u>

Guidelines, procedures & regulations: <u>https://www.wcpfc.int/guidelines-</u> procedures-and-regulations