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COMPLIANCE INFORMATION REQUESTED BY TCC4

**WCPFC5-2008-IP06 (Rev.1)
21 November 2008**

Revised to reflect the latest available information provided to the Secretariat by CCMs

Paper prepared by the Secretariat

Introduction

1. At the Fourth Regular Session of the Technical and Compliance Committee (TCC4) held at Pohnpei, Federated States of Micronesia from 2-7 October 2008, the Committee reviewed WCPFC-TCC4-2008/10 (Rev.3) on the subject of a compliance review and enforcement of management measures. It was noted with great concern that many CCMs had not met their reporting obligations with respect to various measures, and CCMs were urged to bring their reporting up to date in advance of WCPFC5.

2. TCC4 directed the Secretariat to prepare a table for consideration of WCPFC5 which would list the names of CCMs in compliance with various reporting measures and obligations, and those not in compliance. TCC4 noted that failure to provide information constitutes a failure to comply with the measure in question. TCC4 recommended to WCPFC5 that, depending on the response by CCMs to bring their reporting up to date in advance of WCPFC5, the Commission may wish to consider remedial action, including sanctions, for failure to comply.

Organisation of information requested by TCC4

3. The information requested by TCC4 is organized into three tables, as follows:
- i. CCMs' reporting obligations with respect to WCPFC Measures (Table 1);
 - ii. CCMs' reporting obligations with respect to CMM-2004-01 (Table 2); and
 - iii. CCMs' other reporting obligations (Table 3).

Recommendation

4. WCPFC5 is invited to:
- note the compliance and non-compliance by respective CCMs;
 - consider remedial action for failure of CCMs to comply with their reporting obligations (refer paragraph 209, TCC4 Summary Report).

Table 1 – Reporting obligations with respect to WCPFC Measures

Conservation and Management Measure	Symbol	Date Adopted	Date Entered into Force	Fleets/Areas covered (general)	CCMs required to comply	CCMs in compliance	CCMs not in compliance
Resolution on expansion of fishing effort and capacity (MHL CIV, February 1999)							
Resolution on expansion of fishing effort and capacity (MHL CV, September 1999)							
Resolution relating to illegal, unreported and unregulated fishing and limits on fishing capacity (PrepCon3, November 2002)							
Resolution on reduction of overcapacity	Res.-2005-02						
Resolution on reduction of non-target fish species	Res.-2005-03						
Record of Fishing Vessels and Authorization to Fish	CMM-2004-01	08 Dec. 2004	08 Feb. 2005	CCMs with authorized flag vessels	¹		
Cooperating Non-Members	CMM-2004-02	08 Dec. 2004	08 Feb. 2005	CNMs			
Specifications for the Marking and Identification of Fishing Vessels	CMM-2004-03	08 Dec. 2004	08 Feb. 2005	CCMs with authorized flag vessels			
Resolution on Conservation and Management Measures	CMM-2004-04			All CCMs			
Conservation and Management Measures for Bigeye and Yellowfin Tuna in the Western and Central Pacific Ocean	CMM-2005-01	16 Dec. 2005	16 Feb. 2006	PS fisheries between 20°N and 20°S. All LL fisheries and	AU,CK,CN,EU, FJ,FM,JP,KI,KR, MH,NC,NU,NZ, PF,PG,PH,PW, SB,TO,CT,US,		

¹ See Table 2 for details.

				others catching BET/YFT (including PS outside 20°N and 20°S). (Since 2000)	VU,SA BZ,ID,SV,EC,PA		
<i>Regional or bilateral agreements or arrangements</i>						EU/SB, EU/KI, EU/FM, KR/FM, KR/MH, KR/NR, KR/PG, KR/SB, KR/KI, TK/NZ, FSM Arr., US Treaty	
<i>Development plans for developing skipjack fisheries</i>						AU	
Conservation and Management Measure for South Pacific Albacore	CMM-2005-02	16 Dec. 2005	16 Feb. 2006	[LL, Troll and PL] fleets that have fished for SP Albacore in the CA south of 20°S (since 2000)	AU,BZ,CA,CK, CN,EU,FJ,JP,KR, NC,NU,NZ,PF, TO,CT,US,VU	AU,BZ,NC,NZ	CA,CK,CN,EU, FJ,JP,KR,NU,PF, TO,CT,US,VU
Conservation and Management Measure for North Pacific Albacore	CMM-2005-03	16 Dec. 2005	16 Feb. 2006	Fleets potentially catching NP Albacore in the CA north of the equator (since 2000). LL, PS, PL and Troll.	BZ,CK,CN,FM, JP,KR,MH,PH, CT,US,VU	CA,CT,CK,JP, KR, US,VU	BZ,CN,FM,MH, PH
Conservation and Management Measures for Bigeye and Yellowfin Tuna in the Western and Central Pacific Ocean	CMM-2006-01	15 Dec. 2006	15 Feb. 2007	PS fisheries between 20°N and 20°S. All LL fisheries and other fisheries catching	AU,CK,CN,EU, FJ,FM,JP,KI,KR, MH,NC,NU,NZ, PF,PG,PH,PW, SB,TO,CT,US, VU,SA		

				BET/YFT (inc. PS outside of 20°N and 20°S) (Since 2000)	BZ,ID,SV,EC,PA		
<i>FAD Management Plans</i>						AU, JP,NZ,PG, SB	
<i>Catch Retention Plans</i>						AU,NZ,SB,VU	
Conservation and Management Measure to Mitigate the Impact of Fishing for Highly Migratory Fish Stocks on Seabirds	CMM-2006-02	15 Dec. 2006	15 Feb. 2007			AU,NZ,US	
Conservation and Management Measure for Swordfish in the South West Pacific	CMM-2006-03	15 Dec. 2006	15 Feb. 2007	LL fleets potentially catching swordfish in the CA south of 20°S.	AU,BZ,CK,CN, EU,FJ,JP,KR,NC, NU,NZ,PF,TO, CT,US,VU	AU,BZ,CK,EU, KR,NC,NZ,CT, US	CN,FJ,JP,NU,PF, TO (VU - non-provision of number of vessels involved)
Conservation and Management Measure for Striped Marlin in the Southwest Pacific	CMM-2006-04	15 Dec. 2006	15 Feb.2007	LL fleets potentially catching Striped Marlin in the CA south of 15°S.	AU,BZ,CK,CN, EU,FJ,JP,KR,NC, NU,NZ,PF, PG, SB,TO,CT,VU, SA	AU,CK,KR,NC, CT,US	BZ,CN,EU,FJ,JP, NU,NZ,PF,PG, SB,TO (VU - non-provision of number of vessels involved)
Conservation and Management Measure for Sharks in the Western and Central Pacific Ocean	CMM-2006-05	15 Dec. 2006	15 Feb. 2007	All fleets potentially catching shark in the CA.	AU,CA,CK,CN, EU,FJ,FM,JP,KI, KR,MH,NC,NU, NZ,PF,PG,PH, PW,SB,TO,CT, US,VU,SA BZ,ID,SV,EC,PA		
Commission Vessel Monitoring System	CMM-2006-06	15 Dec. 2006	15 Feb. 2007				
Conservation and Management Measure for the Regional Observer Programme	CMM-2006-07	15 Dec. 2006	15 Feb. 2007				
Western and Central Pacific	CMM-2006-08	15 Dec. 2006	15 Feb. 2007	CCMs that	NZ,CA,CK,FM,	NZ,CK,FR,KR,	CA,FM

Fisheries Commission Boarding and Inspection Procedures				have provided details of authorized inspection vessels.	FR,KR,PG,CT, US	CT,US	(non-provision of information required in para.13, and 14-24 of CMM-2006-08)
Conservation Measure to Establish a List of Vessels Presumed to Have Carried Out Illegal, Unreported and Unregulated Fishing Activities in the Western and Central Pacific Ocean	CMM-2006-09	15 Dec. 2006	15 Feb. 2007				
Conservation and Management Measure for the Regional Observer Programme	CMM-2007-01	15 Dec. 2007	15 Feb. 2008				
Revision of CMM-2006-06 to include the requirement that vessels in the Convention Area must maintain VMS transmission even while beyond the Commission boundaries at 20°N and 175°E.	CMM-2007-02	15 Dec. 2007	15 Feb. 2008				
IUU Fishing (Replacement of entire text of CMM-2006-09)	CMM-2007-03	15 Dec. 2007	15 Feb. 2008				
Revision of CMM-2006-02 for mitigating the impact of fishing on seabirds	CMM-2007-04	15 Dec. 2007	15 Feb. 2008	CCMs and CNMs with longline vessels that fish in the CA south of 30°S or north of 23°N.	AU,BZ,CN,JP, KR,NZ,PF,CT, US,VU	AU,BZ,NZ,US	CN,JP,KR,PF,CT, VU

Key to abbreviations²:

Australia (AU)	Federated States of Micronesia (FM)	Marshall Islands (MH)	Philippines (PH)
Belize (BZ)	Fiji (FJ)	Nauru (NR)	Samoa (SA)
Canada (CA)	France (FR)	New Caledonia (NC)	Solomon Islands (SB)
Cook Islands (CK)	French Polynesia (PF)	New Zealand (NZ)	Chinese Taipei (CT)
China (CN)	Indonesia ((ID)	Niue (NU)	Tokelau (TK)
Ecuador (EC)	Japan (JP)	Panama (PA)	Tonga (TO)
El Salvador (SV)	Kiribati (KI)	Palau (PW)	Tuvalu (TV)
European Community (EU)	Korea (KR)	Papua New Guinea (PG)	United States of America (US)
			Vanuatu (VU)

² These abbreviations are correct only for use in this paper.

Table 2 – Reporting obligations with respect to CMM-2004-01

Legend: **Shaded Bold** – Always in compliance with CMM-2004-01
Shaded Red – Partially in compliance with CMM-2004-01

Conservation and Management Measure-2004-01	Symbol	Date Adopted	Date Entered into Force	Fleets/Area s covered (general)	CCMs required to comply	CCMs in compliance	CCMs not in compliance
<i>Para. 5(a) - Name of the fishing vessel, registration number, WIN, previous names (if known) and port of registry.</i>	CMM-2004-01	08 Dec. 2004	08 Feb. 2005	CCMs with authorized flag vessels	AU,BZ,CA,CK,CN,EU,SV,EC,FM,FP,FJ,JP,KI,KR,MH,NC,NZ,PA,PG,PH,SB,TO,TV,CT,US,VU	AU, BZ,CA,CK,CN ,EU,SV,EC,FM, FP ,FJ, JP,KI,KR,MH ,NC,NZ,PA,PG, PH,SB ,TO,TV, CT ,US, VU	
<i>Para. 5(b) Name and address of the owner or owners</i>	CMM-2004-01				AU,BZ,CA,CK,CN,EU,SV,EC,FM,FP,FJ,JP,KI,KR,MH,NC,NZ,PA,PG,PH,SB,TO,TV,CT,US,VU	AU,BZ,CK, CN ,EU,SV,EC,FM,FP,FJ,JP,KI,KR,MH,NC,NZ,PA, PG ,PH,SB, TO,TV,CT ,US,VU	CA
<i>Para. 5(c) - name and nationality of the master</i>	CMM-2004-01				AU,BZ,CA,CK,CN,EU,SV,EC,FM,FP,FJ,JP,KI,KR,MH,NC,NZ,PA,PG,PH,SB,TO,TV,CT,US,VU	BZ,CK, CN,EU ,SV,EC, FM ,FJ,JP, KI ,KR,MH,NC,NZ,PA, PG,PH,SB,TO,TV,CT,US ,VU	AU,CA,FP
<i>Para. 5(d) - Previous flag (if any)</i>	CMM-2004-01				AU,BZ,CA,CK,CN,EU,SV,EC,FM,FP,FJ,JP,KI,KR,MH,NC,NZ,PA,PG,PH,SB,TO,TV,CT,US,VU		AU,BZ,CA,CK,CN,EU,SV,EC,FM,FP,FJ,JP,KI,KR,MH,NC,NZ,PA,PG,PH,SB,TO,TV,CT,US,VU
<i>Para. 5(e) - International Radio Call Sign</i>	CMM-2004-01				AU,BZ,CA,CK,CN,EU,SV,EC,FM,FP,FJ,JP,KI,KR,MH,NC,NZ,PA,PG,PH,SB,TO,TV,CT,US,	AU,BZ,CA,CK,CN ,EU,SV,EC,FM, FP ,FJ,JP,KI,KR,MH,NC,NZ,PA,PG, PH,SB,TO,TV,CT ,US,	

					VU	VU	
<i>Para. 5(f) - Vessel communication types and numbers (Inmarsat A, B and C numbers and satellite telephone number.</i>	CMM-2004-01				AU,BZ,CA,CK,CN,EU,SV,EC,FM,FP,FJ,JP,KI,KR,MH,NC,NZ,PA,PG,PH,SB,TO,TV,CT,US,VU	BZ,CK,CN,EU,SV,EC,FM,FJ,JP,KI,KR,MH,NC,NZ,PA,PG,PH,SB,TV,CT,US,VU	AU,CA,FP,TO
<i>Para. 5(g) - Colour photograph of the vessel</i>	CMM-2004-01				AU,BZ,CA,CK,CN,EU,SV,EC,FM,FP,FJ,JP,KI,KR,MH,NC,NZ,PA,PG,PH,SB,TO,TV,CT,US,VU	BZ,CK,CN,EU,SV,EC,FM,FJ,JP,KI,KR,MH,NC,NZ,PA,PG,PH,SB,TV,CT,US,VU	AU,CA,FP,TO
<i>Para. 5(h) - where and when the vessel was built</i>	CMM-2004-01				AU,BZ,CA,CK,CN,EU,SV,EC,FM,FP,FJ,JP,KI,KR,MH,NC,NZ,PA,PG,PH,SB,TO,TV,CT,US,VU	AU,BZ,CA,CK,CN,EU,SV,EC,FM,FP,FJ,JP,KI,KR,MH,NC,NZ,PA,PG,PH,SB,TO,TV,CT,US,VU	
<i>Para. 5(i) – Type of vessel</i>	CMM-2004-01				AU,BZ,CA,CK,CN,EU,SV,EC,FM,FP,FJ,JP,KI,KR,MH,NC,NZ,PA,PG,PH,SB,TO,TV,CT,US,VU	AU,BZ,CA,CK,CN,EU,SV,EC,FM,FP,FJ,JP,KI,KR,MH,NC,NZ,PA,PG,PH,SB,TO,TV,CT,US,VU	
<i>Para. 5(j) – Normal crew complement</i>	CMM-2004-01				AU,BZ,CA,CK,CN,EU,SV,EC,FM,FP,FJ,JP,KI,KR,MH,NC,NZ,PA,PG,PH,SB,TO,TV,CT,US,VU	AU,BZ,CA,CK,CN,EU,SV,EC,FM,FP,FJ,JP,KI,KR,MH,NC,NZ,PA,PG,PH,SB,TO,TV,CT,US,VU	
<i>Para. 5(k) – Type of fishing method or methods</i>	CMM-2004-01				AU,BZ,CA,CK,CN,EU,SV,EC,FM,FP,FJ,JP,KI,KR,MH,NC,NZ,PA,PG,PH,SB,TO,TV,CT,US,VU		AU,BZ,CA,CK,CN,EU,SV,EC,FM,FP,FJ,JP,KI,KR,MH,NC,NZ,PA,PG,PH,SB,TO,TV,CT,US,VU
<i>Para. 5(l) – Length</i>	CMM-2004-01				AU,BZ,CA,CK,CN,EU,SV,EC,FM,FP,FJ,JP,KI,KR,MH,NC,NZ,PA,PG,PH,SB,TO,TV,CT,US,VU	AU,BZ,CA,CK,CN,EU,SV,EC,FM,FP,FJ,JP,KI,KR,MH,NC,NZ,PA,PG,PH,SB,TO,TV,CT,US,VU	
<i>Para. 5(m) – Moulded depth</i>	CMM-2004-01				AU,BZ,CA,CK,CN,	AU,BZ,CA,CK,CN,	

					EU,SV,EC,FM,FP,F J,JP,KI,KR,MH,NC, NZ,PA,PG,PH,SB, TO,TV,CT,US,VU	EU,SV,EC,FM,FP,F J,JP,KI,KR,MH,NC, NZ,PA,PG,PH,SB, TO,TV,CT,US,VU	
<i>Para. 5(n) – Beam</i>	CMM-2004-01				AU,BZ,CA,CK,CN, EU,SV,EC,FM,FP,F J,JP,KI,KR,MH,NC, NZ,PA,PG,PH,SB, TO,TV,CT,US,VU	AU,BZ,CA,CK,CN, EU,SV,EC,FM,FP,F J,JP,KI,KR,MH,NC, NZ,PA,PG,PH,SB, TO,TV,CT,US,VU	
<i>Para. 5(o) – Gross Registered Tonnage</i>	CMM-2004-01				AU,BZ,CA,CK,CN, EU,SV,EC,FM,FP,F J,JP,KI,KR,MH,NC, NZ,PA,PG,PH,SB, TO,TV,CT,US,VU	AU,BZ,CA,CK,CN, EU,SV,EC,FM,FP,F J,JP,KI,KR,MH,NC, NZ,PA,PG,PH,SB, TO,TV,CT,US,VU	
<i>Para. 5(p) – Power of main engine or engines</i>	CMM-2004-01				AU,BZ,CA,CK,CN, EU,SV,EC,FM,FP,F J,JP,KI,KR,MH,NC, NZ,PA,PG,PH,SB, TO,TV,CT,US,VU	AU,BZ,CK,CN,EU, SV,EC,FM,FP,FJ,JP ,KI,KR,MH,NC,NZ, PA,PG,PH,SB,TO, TV,CT,US,VU	CA
<i>Para. 5(q) – Carrying capacity, including freezer type, capacity and number, and fish hold capacity.</i>	CMM-2004-01				AU,BZ,CA,CK,CN, EU,SV,EC,FM,FP,F J,JP,KI,KR,MH,NC, NZ,PA,PG,PH,SB, TO,TV,CT,US,VU	BZ,CK,CN,EU,SV, EC,FM,FJ,JP,KI, KR,MH,NC,NZ,PA, PG,PH,SB,TO,CT, US,VU	AU,CA,FP,TV
<i>Para. 5(r) – The form and number of the authorization granted by the flag State including any specific areas, species and time periods for which it is valid.</i>	CMM-2004-01				AU,BZ,CA,CK,CN, EU,SV,EC,FM,FP,F J,JP,KI,KR,MH,NC, NZ,PA,PG,PH,SB, TO,TV,CT,US,VU	AU,BZ,CK,CN,EU, SV,EC,FM,FP,FJ, JP,KI,KR,MH,NC, NZ,PA,PG,PH,SB, TO,TV,CT,US,VU	CA

Key to abbreviations:

Australia (AU) Belize (BZ) Canada (CA) Cook Islands (CK) China (CN) Ecuador (EC) El Salvador (SV) European Community (EU)	Federated States of Micronesia (FM) Fiji (FJ) France (FR) French Polynesia (PF) Indonesia ((ID) Japan (JP) Kiribati (KI) Korea (KR)	Marshall Islands (MH) Nauru (NR) New Caledonia (NC) New Zealand (NZ) Niue (NU) Panama (PA) Palau (PW) Papua New Guinea (PG)	Philippines (PH) Samoa (SA) Solomon Islands (SB) Chinese Taipei (CT) Tokelau (TK) Tonga (TO) Tuvalu (TV) United States of America (US) Vanuatu (VU)
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Table 3 – Other Reporting Obligations

Reporting Obligation	Date Adopted	Date of compliance	CCMs required to comply	CCMs in compliance	CCMs not in compliance
List of vessels indicating those vessels that “fished” or “did not fish” in previous calendar year.	15 Feb. 2008	1 Jul. 2008	AU,BZ,CA,CK,CN,EU,SV,EC,FM,PF,FJ,JP,KI,KR,MH,NC,NZ,PA,PG,PH,SB,TO,TV,CT,US,VU	AU,BZ,CA,EU,JP,KR,NZ,US	CK,CN,SV,EC,FM,FP,FJ,KI,MH,NC,PA,PG,PH,SB,SV,TO,TV,CT,VU
Submission of Annual Report (Part 2), 1 Jan.-31 Dec. 2007	16 Feb. 2006	31 Jul. 2008	AU,BZ,CA,CK,CN,EU,FM,FJ,FR,ID,JP,KI,KR,MH,NC,NR,NZ,NU,PF,PG,SA,PH,SB,TO,TK,TV,CT,US,VU,WF	AU,BZ,CA,CN,CK,EU,FJ,FR,FM,ID,KI,KR,MH,NR,NC,NR,NZ,NU,PW,PF,PH,PG,SA,SB,TO,TV,CT,US,VU,WF	TK
Submission of Annual Report (Part 2), 1 Jan.-31 Dec. 2006	16 Feb. 2006	31 Jul. 2007	AU,CA,CK,CN,EU,FM,FJ,FR,ID,JP,KI,KR,MH,NC,NR,NZ,NU,PF,PG,SA,PH,SB,TO,TK,TV,CT,US,VU,WF	AU,CA,CK,CN,EU,FM,FJ,JP,KI,KR,MH,NC,NZ,NU,PF,PG,SA,PH,TK,TV,CT,US,VU	FR,ID,NR,SB,TO,WF
Submission of Annual Report (Part 2), 2005		28 Aug. 2006	AU,CA,CK,CN,EU,FM,FJ,FR,ID,JP,KI,KR,MH,NC,NR,NZ,NU,PF,PG,SA,PH,SB,TO,TK,TV,CT,US,VU,WF	AU,CA,CK,CN,EU,FM,FJ,JP,KI,KR,MH,NC,NZ,NU,PF,PG,SA,SB,TO,TK,TV,CT,US,VU	FR,ID,NR,PH,WF
Submission of Annual Report (Part 1), 1 Jan.-31 Dec. 2007	16 Feb. 2006	11 Jul. 2008	AU,BZ,CA,CK,CN,EU,FM,FJ,FR,ID,JP,KI,KR,MH,NC,NR,NZ,NU,PF,PG,SA,PH,SB,TO,TK,TV,CT,US,VU,WF	AU,BZ,CA,CK,CN,EU,FM,FJ,ID,JP,KI,KR,MH,NC,NR,NZ,PF,PG,SA,PH,SB,TO,TV,CT,US,VU	NU,TK,WF
Submission of Annual Report (Part 1), 1 Jan.-31 Dec.	16 Feb. 2006	13 Jul. 2007	AU,CA,CK,CN,EU,FM,FJ,FR,ID,JP,KI,KR,MH,NC,NR,	AU,CA,CK,CN,EU,FM,FJ,ID,JP,KR,MH,NC,NR,NZ,NU,	KI,SB,TV

2006			NZ,NU,PF,PG,SA, PH,SB,TO,TK,TV, CT,US,VU,WF	PF,PG,SA,PH,TO, TK,CT,US,VU,WF	
Submission of Annual Report (Part 1), 2005		7 Jul. 2006	AU,CA,CK,CN, EU,FM,FJ,FR,ID,JP, KI,KR,MH,NC,NR, NZ,NU,PF,PG,SA, PH,SB,TO,TK,TV, CT,US,VU,WF	AU,CA,CK,CN, EU,FM,FJ,ID,JP, KI,KR,MH,NC,NR, NZ,NU,PF,PG,SA, PH,SB,TO,TV,CT, US,VU	TK,WF
Data Gaps Study “Summary of provision of historical data to the Commission” ³			AU,BZ,CA,CK,CN, EU,FM,FJ,FR,ID,JP, KI,KR,MH,NC,NR, NZ,NU,PF,PG,SA, PH,SB,TO,TK,TV, CT,US,VU,WF	AU,BZ,CA,FM,ID,	CK,CN,EU,FJ,FR,JP, KI,KR,MH,NC,NR,NZ, NU,PF,PG,SA,PH,SB, TO,TK,TV,CT,US, VU,WF

Key to abbreviations:

Australia (AU)	Federated States of Micronesia (FM)	Marshall Islands (MH)	Samoa (SA)
Belize (BZ)	Fiji (FJ)	Nauru (NR)	Solomon Islands (SB)
Canada (CA)	France (FR)	New Caledonia (NC)	Chinese Taipei (CT)
Cook Islands (CK)	French Polynesia (PF)	New Zealand (NZ)	Tokelau (TK)
China (CN)	Indonesia ((ID)	Niue (NU)	Tonga (TO)
Ecuador (EC)	Japan (JP)	Panama (PA)	Tuvalu (TV)
El Salvador (SV)	Kiribati (KI)	Palau (PW)	United States of America (US)
European Community (EU)	Korea (KR)	Papua New Guinea (PG)	Vanuatu (VU)
		Philippines (PH)	Wallis and Futuna (WF)

³ There are also entries for El Salvador, Panama, Senegal and Vietnam.